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# RISK-BASED ASSESSMENT OF LEVEES- LESSONS LEARNED FROM THE 2011 MISSISSIPPI RIVER FLOODS

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for

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Average flow 640,000 cfs. Suspended sediment flux 180 million yds<sup>3</sup>/yr, one third of all US rivers. Long duration floods transport enormous volumes of sediment

# The Mississippi River & Tributaries Project

began construction in 1929
 3400 miles of levees
 five dams
 four floodways
 four floodways



"Vog" Class of '24



The Waterways Experiment Station was established by the Army Corps of Engineers in 1928, following the disastrous floods of 1927 along the lower Mississippi River. The Army chose 1<sup>st</sup> Lieutenant Herbert D. Vogel with standing up the facility, which he directed for five years, between late 1929 and mid-1934

1<sup>st</sup> Lt Herbert D. Vogel West Point Class of 1924 MS Univ California Berkeley '28 DEng Berlin Technical Univ '29 MEng Univ Michigan '34 First Director of the Waterways Experiment Station at Vicksburg 1930-34



**Dr. Vogel** 

**BGEN Harley Ferguson (West** Point '97) was **President of** the Mississippi River Commission from 1932-39, during the formative years of the Mississippi **River & Tributaries** Project

Vogel and Prof. Clarence Bardsley were the first engineers to ever construct outdoor earthen bed hydraulic models



Vogel and Prof. Clarence Bardsley, of the Missouri School of Mines Vogel employed the principles of similitude that had been pioneered by hydraulic modelers in Europe to examine various means to make the Mississippi River channel more hydraulically efficient



The loess soils in Vicksburg were ideal for carving precise scale hydraulic models , with vertical exaggeration





Model of Birds Point-New Madrid floodway under to



- The Birds Point-New Madrid Floodway was located in Missouri and starting just below the confluence of the Mississippi and Ohio Rivers at Cairo, IL, covering about 206 square miles.
- In 1932 WES performed a model study to determine the effects of operating the floodway on the lands lying within it and to predict the draw-down on the Mississippi River with the floodway in use.
- With more than 100 miles of river to simulate, Vogel built an 80-foot-long outdoor concrete model of the river channel, the overbank between levees, backwater areas, and the floodway.
- Vog took special care to correctly place drainage ditches, levee borrow pits, and other details that would affect water levels, and raised miniature levees with soil taken from actual on-site levee borings. These tests indicated that the new levees were of sufficient height to contain any projected flood

### Design Intent of the Bird's Point Floodway

The Corps of Engineers designed the floodway to save Cairo, IL, a key rail and highway junction They also designed a drainage system to reclaim floodway lands for agriculture



Fig. 4—Model map of New Madrid floodwry, showing crevasses, backwater limits, current velocities and water-surface contours resulting from a aimulated 1927 flood.



Fig. 1-Levce and drainage system of New Madrid floodway

#### Geometric Versus Hydraulic Similitude

Factors to Be Considered When Using Models to Study Flow in Open Channels

By HERBERT D. VOGEL DIRECTOR, U.S. WATERWAYS EXPERIMENT STATION, VICESBURG, MISS. FIRST LIEUTENANT, CORPS OF ENGINEERS, U.S. ARMY

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One aspect the hydraulic models could not predict were long-term bed and bank adjustments, made over many decades by dramatically different flow regimens (Herbert D. Vogel and Missouri Mines Professor Clarence Bardsley pictured at upper right).

# Major Elements of the MR&T

- 2,200 miles of levees and floodwalls (avg 30 ft high) below Cape Girardeau
- Bypass floodways: Bird's Pt-New Madrid (1931); Bonne Carre (1931); Morganza Diversion (1954); Old River Diversion (1960/1977)
- Channel improvements; incl. 16 cutoffs and two major chutes; and bank revetments. Initially lowered flood stages 16 ft at Ark City and 10 ft at Vicksburg
- Major tributary improvements, 4 dams in Yazoo Basin (Enid, Arkabutla, Sardis, Grenada) and Wappapello on the St Francis River

#### Effects of Mississippi River Cut-Offs

By HARLEY B. FERGUSON

MEMBER AMERICAN SOCIETY OF CIVIL ENGINEERS

BRIGADIER GENERAL, CORPS OF ENGINEERS; PRESIDENT, MISSISSIPPI RIVER COMMISSION, VICKSBURG, MISS.





Fig. 5—Records of 165 years of channel changes below Baton Rouge reflect a high degree of stability. Compare with Fig. 4, which is typical above Red River.



Fig. 6—Much rectification of the highwater channel has been accomplished by setting back the levee line at projecting points, as shown in this stretch between Greenville and Vicksburg.



degreet 3, 1913 - Engineering Neuro-Record.

#### NEW PLANS FOR THE MISSISSIPPI

#### Contraction Works Stabilize Low-Water Channel

#### Seconth of a Series of Eight Articles

Shallow navigation depths between Cairo and Memphis are being increased by narrowing and stabilizing the low-water channel by means of spur dikes

#### Framed timber dike under construction





## WES strove to improve channel efficiency





**Goal: reduce flood height thru increased channel efficiency: 16** cutoffs were made along the lower Mississippi River to increase grades and channel efficiency.



 Timber dikes were employed along the Mississippi River to confine flow and increase velocity along a preferred navigation channel. These dike caught organic debris which aided in their becoming backfilled with sediment.



FIGURE 5 Example of corrective works used to alleviate a troublesome dividedflow condition along a selected Mississippi River reach.

# Split Flow Conditions

- Channel improvements; incl. 16 cutoffs and two major chutes; and bank revetments (damaged during high flows).
- These improvements initially lowered flood stages 16 ft at Ark City and 10 ft at Vicksburg
- Requires corrective dredging

# Channel stabilization measures often increase boundary shear, increasing friction and "lifting" flood stages

#### BENDWAY WEIRS



# REVETMENTS



Korneliussen (2012)



## **MR&T Design Flood**

The Project Flood was developed in 1956. It combines Jan 1937, Jan 1950, and Feb 1938 storms over the Ohio and Mississippi Basins

The peak flow of the Project Flood is 3,000,000 cfs at Red River landing

The MR & T was constructed by the Army Corps of Engineers between 1928-60 for \$8 billion

Numerous additions since 1960

Major diversions at Old River, Morganza Floodway, and Bonne Carre; which siphon off 54% of the maximum flow

# The 1973 Flood – all sorts of surprises



Fig. 1. Cutoffs have reduced creats of comparable Mississippi River floads as shown by the shaded zone.

## Fallout from 1973 Mississippi River Flood

- MR&T only 41% complete when flood occurred
- Set a record for days-out-ofbank at 62
  - Damages of \$183,756,000 to the MR&T system; \$1 billion overall
- Flow levels at Cairo similar to 1927, but much higher downstream
- The carrying capacity of the river had decreased; meaning the flow of water would now be at a higher elevation
  This realization necessitated raising 800 miles of levees



#### Rapid Drawdown induced failures



 The 1973 flood was unusual in its duration (62 to 90 days), and its multiple cycles (loop effect)

 Levees resisted peak flows, but many failed when the river dropped precipitously, after its initial early season peak, in January, February, and April





Bank Failures most sensitive to drawdown cycles

• 1973 flow hydrograph for Donaldsonville. **River flowed between** 20 and 25 ft for two months during the 1973 flood, then dropped 7 feet in 9 days, creating a severe rapid drawdown condition.





#### Near Disaster at the Old River Control Structure

- During the '73 flood a large *back-eddy scour hole* developed on the up and downstream sides of the left abutment of the Low-Sill Diversion Structure
- Without the battered steel piles a new Mississippi River channel would have been carved down the Atchafalaya River to the Gulf !

# Aftermath of the '73 Flood

- Siltation of the lower Mississippi and at the Passes was without precedent, because of double crests and loss of channel efficiency Near loss of the Old River Control Structure • Underseepage beneath levees Bank failures along lower reaches of the river Channel deterioration and flood cycles rendered stage-discharge relationships invalid
- 800 miles of levees along lower Mississippi had to be raised 3 to 6 ft

## Old River Control Structure Complex was completely rebuilt following the `73 flood

# 2011 Flood

• The 2011 flooding of the Mississippi River recorded a peak flood flow of  $\sim$ 2,330,000 cfs (at Natchez on May 20<sup>th</sup>); about 86% of the design capacity of 2.71 million cfs The 2011 event saw record stage levels everywhere, downstream of Cairo. e.g. the Natchez gage hit 62.5 ft, 4.5 ft above the previou record It was the first time in history that the four majo bypass floodways were opened together, for one flood [Bird's Point, Old River, Morganza, and **Bonne Carre**]

#### **Total PDF Precipitation**

 $\bigcirc$ 

#### Observed Apr 19-May 4 2011 Precipitation



Comparison between the Project Design Flood (PDF) Precipitation events and the observed 2011 precipitation event.: • The PDF occurred in 3 events.

. The axis of maximum precipitation for the PDF was along and south of the Ohio River.

Maximum rainfall totals for PDF were 24-32" over KY and along the Ohio River

The 2011 precipitation event occurred during 2 events 4/19-4/28 and 5/1-5/4.

• The axis of maximum precipitation for the 2011 event was along and just north of the Ohio River in unregulated areas.

Maximum rainfall totals for 2011 event were 12-24" along and just north of the Ohio River.

 It should be noted that stages at Cairo were elevated (+10ft or 127% of normal) at the onset of the 2011 rainfall event due to heavy rains in late Feb/early Mar that produced a crest of 53.41' on 3/18.



Between April 21 & May3, rainfall in the Mississippi-Ohio River confluence area was as much as 900 to 1000% times the normal two-week average From Mullen (2012)



# Flood Preparations

- An engineer from the Corps of Engineers Louisville District inspects the troubled floodwall at Hickman, Kentucky.
- The downtown area was destroyed by high groundwater in 1993 because of the sheetpile cutoff beneath this floodwall
- Volunteers unpack and assemble Hesco Bastion concertainers in Memphis.
   These can be filled with rock to create more substantial barricades than using sandbags.



 Flood Routing situation in the Mississippi Delta on May 7, 2011



 About 1300 cfs was leaking through the needle logs prior to their being lifted at Bonnet Carre for the 10<sup>th</sup> time since 1932, on May 9th. The spillway is 7000 ft long.



Bystanders gather to view the opening of the needle log gates at the southern end of the Bonnet Carre Spillway, constructed in 1929-32. 330 of the 350 spillway bays were opened in May 2011, discharging up to 316,000 cfs.



 The design capacity of the spillway is 250,000 cfs, about one-fifth of the channel's capacity. It was completed in 1932 and the railroad and highway relocations completed in 1936. The spillway was opened in 1937, 1945, 1950, 1973, 1975, 1979, 1983, 1997, 2008, and in 2011. Not statistically significant...yet e.g. 3X 1<sup>st</sup> 20 yrs; 7X next 40 yrs



- The Bonnet Carre Bypass Spillway diverts flow 6 miles, into Lake Pontchartrain, which is at sea level. It was constructed a few miles downstream of a natural crevasse, which had breeched 6 times in the previous 120 years.
  - Four million cubic yards of sediment was mucked from the spillway channel after the 1997 overflows, increasing the spillway capacity.



The Morganza Spillway was constructed in 1953-56, to retard the flow passing Baton Rouge to 1,500,000 cfs. It was only opened once previous to 2011, during the 1973 flood. This shows the first bay being opened on May 11<sup>th</sup>, 2011 and the structure discharged a peak flow of 172,000 cfs on May 17<sup>th</sup>.

## **Upper MR&T Flows**



 The Bird's Point-New Madrid Floodway was conceived in 1929 as a 'safety valve' to spill an additional 550,000 cfs to reduce the flood stage at Cairo, Illinois, then an important commercial crossroads.

#### BIRDS-POINT - NEW MADRID FLOODWAY Korneliussen (2012)

®

 Located in Southeast Missouri, directly below the confluence of the Upper Mississippi and Ohio rivers

- 133,000-acre floodway contributes to protection of more than 2.5 million acres in five states

- Authorized 1928, completed 1932, operated 1937 and 2011




## Backwater flooding of the Bird's Point New Madrid Floodway area

# April 30, 2011:



Backwater over HWY 80 in Floodway between Setback Levee and HWY 77 Korneliussen (2012)



# **Backwater Flooding**





## Explosives Barge

The barge carrying the blasting agents was parked at this location throughout the memorable 1993 flood of the lower **Missouri and middle Mississippi Rivers** It was moved into position was moved north on April 26th and loaded into the levee at 7 AM on May 2nd

 Several thousand lineal feet of hollow PVC pipe was embedded in the *fuse plug levee* at Bird's Point. When the time came to blow the dike, the pipes were filled with blasting agent and detonated

## Location and Description



Locations of fuse plug and outflow crevasse





# Detonation at Bird's Point The use plug

The use plug section was detonated a 10 PM CDT on May 2, 2011, creating an artificial crevasse 11,000 feet long, diverting 335,000 to 375,000 cfs of the river's flow

# The edge of the inflow section of the levee which was breached May 2<sup>nd</sup>



- Approximately 200,000 acres of essentially level flood plain within the Bird's Point-New Madrid Floodway was inundated within 36 hours of the detonation.
- The USGS deployed 40 hurricane storm surge sensors to monitor excavation of the crevasse scour hole and flow velocities, every 30 seconds.

#### Fuse plug dike blown at New Madrid to allow flood waters to flow back into the Mississippi River



## **Bird's Point-New Madrid Floodway**

The State of Missouri appealed to the US Supreme Court to prevent the Bird's Point diversion, on basis of economic cost-benefit

The U.S. Government maintains flowage rights in the four designated floodways, regardless of their post-1928 development

'Hard points' and backwater flooding in Memphis

 Some of the high-value developments in Memphis were afforded increased protection

 Older neighborhoods bereft of any recent flood protection were inundated for the first time, under record high gage flows

# The monster sand boil in Cairo







From Mullen (2012)

#### **BUILDING STRONG®**





Heroic flood fighting The MR&T system is designed to withstand a project flood provided the **Corps six district** can perform "heroic flood fighting efforts," such as those shown here

Images from Mullen (2012)

# The vexing problem underseepage

Levee Slide and Repair in Mississippi From Mullen (2012)

Korneliussen (2012)

 Map at left shows historic channels of the Mississippi River near New Madrid, MO. Most of the levees downstream of Cairo are built on *old channels*, which provide ready conduits for underseepage.

#### Numerous Large Sand boils in Rena Lara, MS





### Large Sand boils at Buck Chute, MS

From Mullen (2012)

Overbank flow along Sheep's Ridge Road and the Merriwether-Cherokee Revetment

MILE 860 \*

Overbank Flow

MERRIWETHER - CHEROKEE REVETMENT



Literation and a

MILE 869 \*

Korneliussen (2012)

### **Overflow of Vice President's Island No 46 in Memphis**



# Wappapello emergency spillway and washout of Highway "T"

Korneliussen (2012)

Bathymetry of scour erosion along Merriwether-Cherokee Revetment overflow

- Sheep's Ridge
  Road Meriwether
  Cherokee
  Revetment
- Top bank scour approx. 2,200' wide and 50-60' deep along existing revetment
- Overbank scour approx. 80' deep and runs inland approx. 3,000-4,000'



From Korneliussen (2012)



# Tailwater Effects

The areas initially impacted by high flows are often those inundated by low gradient tributaries to the Mississippi River







#### Legend



2011 Flood Inundation Extent

1927 Flood Inundation Extent

Levee

USACE District Boundary



#### **OPERATION MAKE SAFE PROGRESS**

#### Upper crevasse:

- Five 25-foot-deep scour holes filled to grade with sand and clay
- All 9,000 feet of crevasse is to a (Cairo) gage elevation of 51' or higher as of Oct. 28
- HESCO barriers to protect to 55 feet were installed as of Dec. 14
- Repairs to 55 feet will resume after the spring seasonal highwater recedes



From Korneliussen (2012)



#### **OPERATION MAKE SAFE PROGRESS**

- Center crevasse:
  - Scour hole filled with more than 300,000 cubic feet sand
  - Clay cap to fill scour hole to grade
  - 800 feet of levee reconstructed to a (Cairo) gage elevation of 55 feet as of Dec. 3
  - 4,700 feet of levee that was explosively activated but did not degrade has been reshaped to Make Safe elevations

From Korneliussen (2012)



Photo courtesy of Great Lakes Dredge & Dock, Inc.

Early progress



#### **OPERATION MAKE SAFE PROGRESS**

- Lower crevasse:
  - Make Safe repairs complete Oct. 10 except for turfing
  - 4,700 feet of levee repaired
  - Work performed by a hired-labor crew from Vicksburg



From Korneliussen (2012)



# Costly repairs to crevasses



A profile of repairs at the center crevasse comparing Make Safe repairs to full Restore of the levee.

 Many engineers have criticized the Corps costly repairs of deep scour holes formed on accidental and intentional crevasses, assuaging that the Corps could install spillway crests or gates for the same cost

# Why are the river's stage-discharge relationships on the rise ?





 Aging problems' with Stage-Discharge relationships. River stages recorded in 1973 were far higher than assumed in design of the MR&T Project. At Vicksburg (shown here) the river was 8 ft higher than its design elevation.



 Stage-Discharge 'Loop Rating Curve:' Typical increases in flow stage that accompanies successive peak flows, as observed in the 1973 Flood.



Sediment is deposited adjacent to and within most of the structural cutoffs when high flows drop rapidly. **These deposits** degrade channel efficiency. **Other complications:** sediment starvation by reservoirs and submerged navigation training structures, such as groins.

 Reasons for unstable stage-discharge relationships: sand waves, bank migration, changing bed movements during successive high flow cycles during peak discharge years, like 1973, 1993, and 2011.

 Since 1950 the river has been working via entropy to *re-establish its original length*, losing some of the channel capacity gained by the streamlining carried out during the two previous decades.

Examining transient bed effects at constant flow values

THE MILITARY ENGINEER

#### Experiments with Movable Bed River Models

HERBERT D. VOGEL

Natural sand bed channel (lower left); dredged channel (upper right); and impact of structural dikes (lower right)







## Comparison of 1973 & 2011 at Vicksburg

#### Stage-Discharge Curves Comparing 2011 and 1973 Mississippi River Floods at Vicksburg MS



## Comparison of 1973 & 2011 at Natchez

#### Stage-Discharge for Mississippi River at Natchez in 1973 and 2011



## Comparison of 1973 & 2011 at Red River Landing

Comparison of Stage-Discharge Curves from 2011 and 1973 at Red River Landing



Kemp and Rogers (2011)

### Comparison of 1973 & 2011 at Baton Rouge

#### Comparison of Stage-Discharge Curves for the Mississippi River at Baton Rouge: 1973 and 2011



+2 to 5 feet @1.4 million cfs





 Overview diagram of a fragility curve. The y-axis represents the annualized probability of failure, while the x-axis represents loads of interest (such as river stage). Failure of the system occurs at a probability of failure equal to 1 (also known as the Ultimate Limit State). It can be very difficult to identify recommended interactive measures to manage increasing hazard levels. (modified from Simm, 2008; and Simm et al., 2010).

# Four common approaches are employed to delineate Levee Fragility Curves (Schultz 2010)

- Judgmental Fragility curves that are based on some form of expert opinion are classified as judgmental. There are no limits to the number of methods that may be used to elicit judgments from experts, and these procedures can vary widely in terms of the level of rigor with which they are implemented.
- <u>Empirical</u> Empirical fragility curves are based on observational data documenting the performance of structures under a variety of loads. Observations may be obtained systematically through controlled experiments or may be collected in an opportunistic fashion, which is uncontrolled.

Analytical Approaches – Analytical fragility curves are based on structural models that characterize the performance limit state of the structure...Limit state functions may be either explicit or implicit. An explicit limit state function is one that could be written explicitly in terms of basic variables. An implicit limit state function is one that cannot be written in closed form as a function of basic variables, but is implied through some sort of numerical model.

 <u>Hybrid Approaches</u> – A hybrid approach to developing fragility curves uses a combination of two or more of the three approaches described above in an attempt to overcome their limitations.


Anatomy of a levee



2. Internal Erosion/Piping



1a. Overtopping



3. Surface Erosion



1b. Overtopping/Jetting



4. Sliding



5. Wave Impacts

8. Piping of substratum

•



**6. Structural Impacts** 



9. Tree damage



7. Liquefaction



10. Slope failure

The quality of the risk assessment is limited by the magnitude of uncertainties (including data gaps) in the (a) system definition, (b) system demands, (c) system capacities, and (d) the suite of considered failure modes, shown here. A truly comprehensive LFC evaluates systems based on an 'all-hazards' approach (image from NSF).



Map of Mississippi River Valley showing abandoned meanders.

#### Why are levees 1000X more likely to fail than dams?



 A major shortcoming of levee is the differing foundation conditions upon which they are founded.

#### Levee construction techniques for the MR&T in 1930s



Fig. 7-Hydraulic-fill levee construction with pipe-line dredge

# Many levees have become `legacy structures'



#### Levees are constructed down on the floodplains, with variable foundation conditions



 1796 Map of the St. Louis area by George Henri Victor Collot and P.F. Tardieu. Note all the lakes in the floodplain that no longer exist

River

UISIANA



from Earth, by Tarbuck, Lutgens, and Tasa (2010)

**Most levees** are constructed along relatively low gradient, meandering channels Oxbows are old meander bends that are truncated and isolated when the river cuts back into its own channel, as shown here.



 Most levees are constructed along low gradient channels. The geologic conditions underlying most levees are fraught with uncertainties, due the nature of fluvial depositional systems.

### Danger of horizontal correlations



 Inclined character of point bar deposits in a sinuous channel system. Note clay drapes; and how these might easily be mis-characterized by straight line correlations between adjacent borings.

## Underseepage problematic in permeable point bar sands



 The worst combination of foundation conditions is the 'gore point' formed between two infilled oxbows, as shown here (Kolb, 1976)

### Natural crevasses beneath levees





Crevasses lie beneath earthen levees like *ticking time bombs*, waiting to explode.





**Rapid drawdown** is generally the most severe loading condition for earthen levees. The severity is a function of how many flood cycles and how rapidly the high flow cycles drop, after peaking. Rapid drawdown can also impact natural river banks in a similar manner.

## CONCLUSIONS

 The MR&T Project will continue to demand significant expenditures, to battle aging effects and rising stage levels

 Coordinated nation-wide flood routing during the 2011 flood likely prevented more than \$2 billion in damages, compared to previous floods of similar magnitude. The Corps of Engineers is to be congratulated.

• Risk-based assessment of levees will require the *most significant input* and *engineering judgment* from geotechnical engineers and engineering geologists.

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This lecture will be posted as a pdf file for easy downloading. www.mst.edu/~rogersda/levees/

<u>Mississippi Delta Region</u>